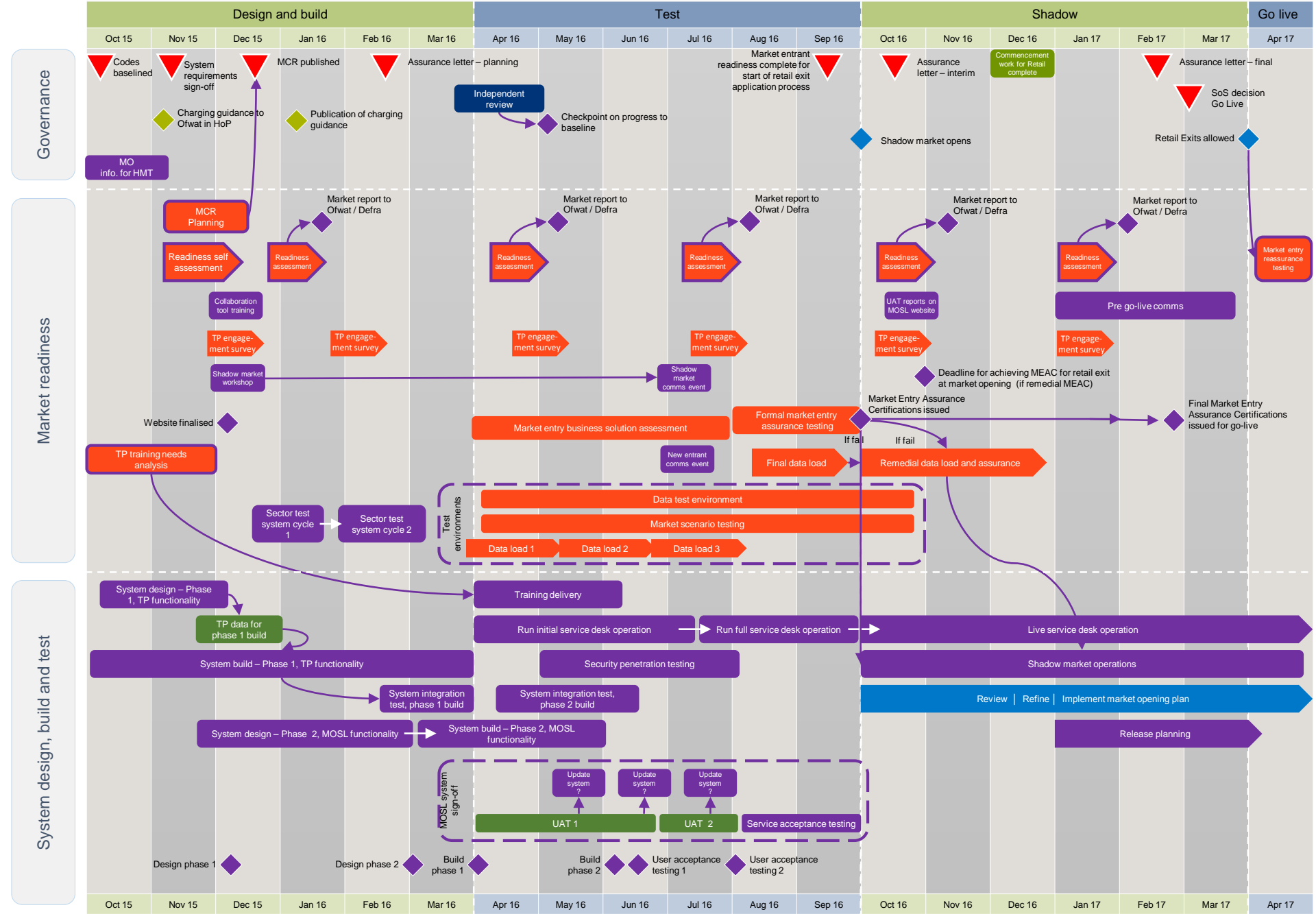


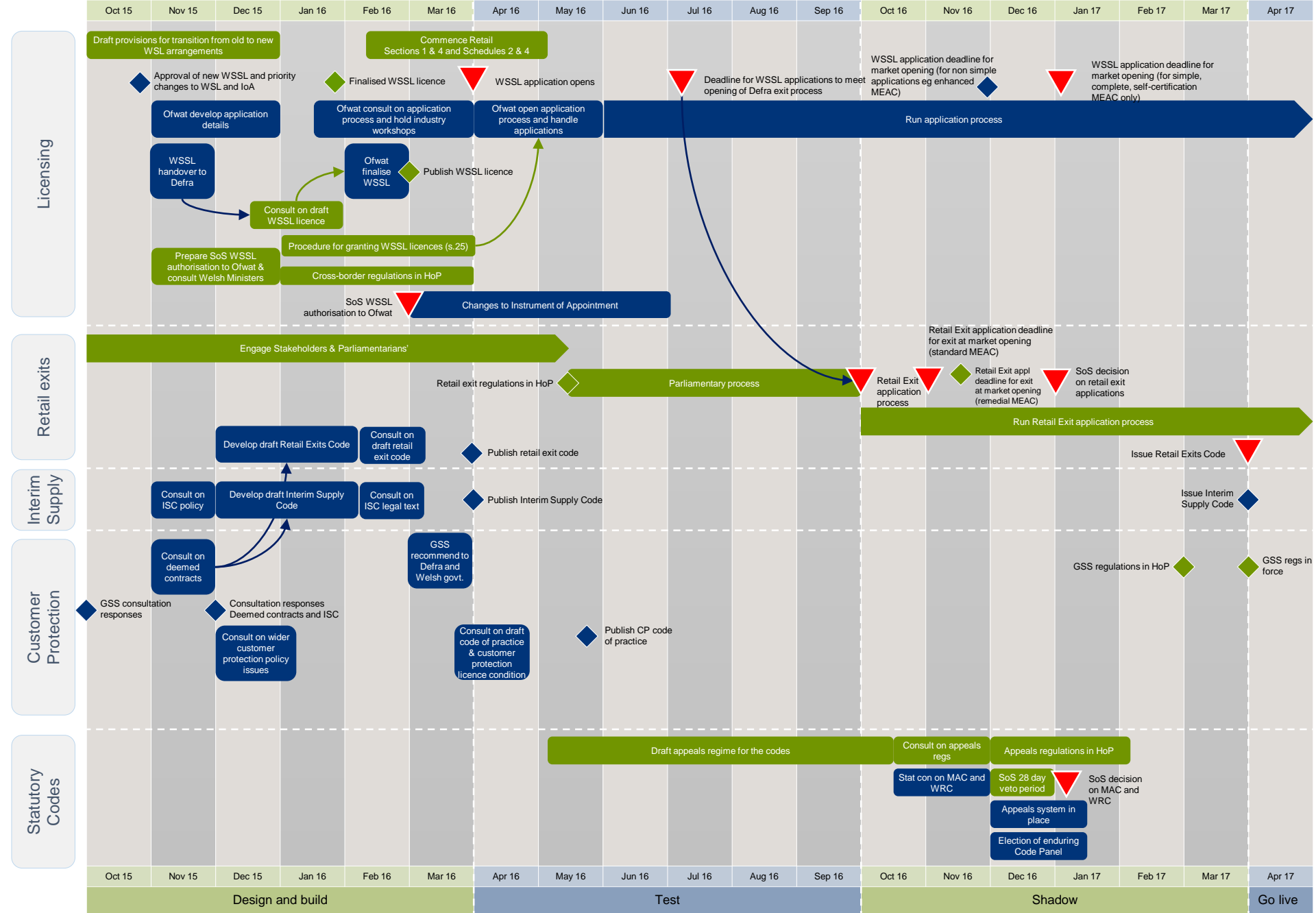
Integrated Market Opening Plan – May 2016



KEY
 TP = trading party
 HoP = Houses of Parliament

◆ Milestone
▼ Critical milestone
All trading parties
MOSL and all TPs
Trial trading parties
MOSL
Ofwat
Defra
Open Water
Repeat activity





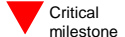
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Milestone



Critical milestone



All trading parties



MOSL and all TPs



Trial trading parties



MOSL



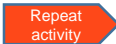
Ofwat



Defra



Open Water



Repeat activity

Ref #	Date Raised	Change Description	Raised By	Assigned to	Change Status	Impact on other workstreams	Date Closed
CH.001	24.02.15	MOSL Board and Membership/Chair/INED Chair/MO CEO Recruitment activities to move back one month. Original dates shown were in error.	OW	MA	Closed	None	
CH.002	24.02.15	MO CEO Recruitment activity moved to end of Q1 2016, from December 2015. Original dates shown were in error.	OW	MA	Closed	None	
CH.003	26.02.15	Move eligibility consultation to take on board further feedback.	Ofwat	Tom Rogers	Closed	Slight reduction to consultation period.	
CH.004	02.02.15	Move deemed contract consultation from July to September-November.	Ofwat	Tom Rogers	Closed	Re-profiling of work to aid delivery.	
CH.005	02.02.15	Move GSS consultation from July to September-November.	Ofwat	Tom Rogers	Rejected	Re-profiling of work to aid delivery. Further information sought.	
CH.006	04.03.15	Remove erroneous duplication of application opening milestone	Ofwat	Tom Rogers	Closed	None	
CH.007	13.03.15	Extend Assurance Framework to end of April (date quoted in recent meetings)	OW	MA	Closed	None	
CH.008	13.03.15	Extend MAP Final Conclusions (Post-Vendor) to complete 30.09.15. Insufficient time for Codes team to incorporate changes from vendor, post-contract award on 03.08.15.	OW	MA	Closed	Possible impact on Company Systems and Ofwat consultation period	
CH.009	13.03.15	Extend 'Prepare & issue data guidance' bar to finish at end of April 2015 with 'Transition Plan'. Milestone is common to both activities.	OW	MA	Closed	None	
CH.010	13.03.15	Delete 'Guidance Plans for Operational Capability' bar. No longer required. Will be covered in transition plan.	OW	MA	Closed	None	
CH.011	13.03.15	Transition - central support to companies' bar to start beginning of May 2015. Linked to transition plan and common milestone.	OW	MA	Closed	None - rescheduling of activities	
CH.012	13.03.15	Extend 'Industry procure, design, build and test systems' bar to finish end of Q1 2016. Drafting error. This date was shown on the plan issued with MAP2.	OW	MA	Closed	None	
CH.013	13.03.15	Extend 'MO and companies data migration' bar to end of Q3. Drafting error. This date was originally shown on plan issued with MAP2.	OW	MA	Closed	None	
CH.014	13.03.15	Changes to timeline for charging guidance to take account of likely publication date of formal consultation	Defra	Holly Yates	Closed	Some changes to Ofwat's approach on rules	
CH.015	13.03.15	New activity around informal engagement on charging to keep workstream on track	Defra	Holly Yates	More Info	Minimise impact of changes above	
CH.016	13.03.15	Remove Ofwat separate blocks on SoLR/exit arrangements, as moved within MAP3 and licence work	Ofwat	Tom Rogers	Closed	None	
CH.017	17.03.15	Change exit allowed box to green. Initially an error.	Defra	Holly Yates	Closed	None	
CH.018	27.03.15	Reflect MOSL as a deliverer of activities	RMOMG	Andrew Beaver	Closed	None	
CH.019	07.04.15	Add in deliverable references from RACI	Ofwat	Andrew Beaver	Closed	None	
CH.020	07.05.15	Add in delivery milestones for deemed contracts and GSS	Ofwat	Tom Rogers	Closed	None	
CH.021	07.05.15	Extend GSS consultation, reduce GSS production period. Minor change to deemed contract description.	Ofwat	Tom Rogers	Closed	None	

Ref #	Date Raised	Change Description	Raised By	Assigned to	Change Status	Impact on other workstreams	Date Closed
CH.022	15.05.15	<p>Changes to Level 1 Plan milestones</p> <ul style="list-style-type: none"> - 'MO CEO recruitment', 'INED Directors', and 'Chair' milestones moves from May to mid-July - New milestone 'MOSL Board Appointed' added to mid-July 	MOSL	Tim Burfoot	Closed	<p>Level 1 Plan to be amended to ensure new dates are communicated to relevant stakeholders.</p> <p>There is no material impact on the central system procurement timescale although there is recognition that the material decision to enter into a contract with a particular supplier can only be made by a duly elected board established under the agreed Articles. The key procurement decision date follows the target date for having a full New Board.</p> <p>The absence of a 'new Board' prior to this is mitigated by establishing a decision-making Panel including 2 of the 3 interim directors, 2 new entrant representatives and Peter Bucks as interim independent director. The interim Panel will be the decision-making body for down-selecting to the final shortlist for RfDS and also who goes into BAFO.</p> <p>The chosen supplier would not contract with a business that is insufficiently capitalised. To achieve this, MOSL needs members and funding. The membership process has now started and as at today 14 funding members have joined. A broader funding base will be in place covering full MOSL mobilisation June - August.</p> <p>Other potential suppliers to MOSL (e.g. PA, Shepherd & Wedderburn) may also reach the view that entering into a financial arrangement with a business that is not fully constituted under its Articles is too high a risk - the mitigation is demonstrating that MOSL is properly capitalised (so it will pay its bills) and that a clear process is underway to establish proper corporate governance.</p> <p>New dates for establishing the New Board should not have any impact on the overall budget or risk market opening.</p>	
CH.023	15.05.15	<p>Changes to Level 1 Plan timelines</p> <ul style="list-style-type: none"> - MO recruitment duration remains constant but start dates moves to beginning of June - MO staff training duration reduced and start date moved to beginning of August - Extended OWML ownership of business requirements support until mid-July (pending confirmation) 	MOSL	Tim Burfoot	Closed	<p>Level 1 Plan to be amended to ensure new dates are communicated to relevant stakeholders.</p> <p>There is no material impact on the central system procurement timescale although there is recognition that the material decision to enter into a contract with a particular supplier can only be made by a duly elected board established under the agreed Articles. The key procurement decision date follows the target date for having a full New Board.</p> <p>The absence of a 'new Board' prior to this is mitigated by establishing a decision-making Panel including 2 of the 3 interim directors, 2 new entrant representatives and Peter Bucks as interim independent director. The interim Panel will be the decision-making body for down-selecting to the final shortlist for RfDS and also who goes into BAFO.</p> <p>The chosen supplier would not contract with a business that is insufficiently capitalised. To achieve this, MOSL needs members and funding. The membership process has now started and as at today 14 funding members have joined. A broader funding base will be in place covering full MOSL mobilisation June - August.</p> <p>Other potential suppliers to MOSL (e.g. PA, Shepherd & Wedderburn) may also reach the view that entering into a financial arrangement with a business that is not fully constituted under its Articles is too high a risk - the mitigation is demonstrating that MOSL is properly capitalised (so it will pay its bills) and that a clear process is underway to establish proper corporate governance.</p> <p>New dates for establishing the New Board should not have any impact on the overall budget or risk market opening.</p>	
CH.024	18.05.15	Interim codes panel in place - milestone 15 July 2015 (in accordance with Ofwat published timescale).	OWML	Michelle Ashford	Closed	Codes to be frozen following publication of MAP (pre-vendor). Need clear definition of what this means.	
CH.025	18.05.15	Codes under formal change control - milestone 01 October 2015 (following publication of MAP Final Conclusions)	OWML	Michelle Ashford	Closed		

Ref #	Date Raised	Change Description	Raised By	Assigned to	Change Status	Impact on other workstreams	Date Closed
CH.026	18.05.15	Company assumptions added following breakout group session with company representatives.	OWML	Michelle Ashford	Closed	Agreed at WRG 28 May 2015.	28-May-15
CH.027	18.05.15	Company activities replaced with activities/milestones defined by the WRG break out group.	OWML	Michelle Ashford	Closed	Agreed at WRG 28 May 2015.	28-May-15
CH.028	18.05.15	Dummy Data, Internal Upload and final Upload activities removed. Replaced by company defined activities.	OWML	Michelle Ashford	More Info	Needs to be validated by vendor, when plans available.	
CH.029	17.08.15	Q2 2016 expanded	Ofwat	John Parsonage	Closed	Submitted to RMOPG on 19 Aug	19-Aug-15