

Centre City Tower, 7 Hill Street, Birmingham B5 4UA
21 Bloomsbury Street, London WC1B 3HF

By email

30 May 2018

Freedom of Information Act (FOIA) 2000 - Request For Information

Reference: FOI 20150552

Dear 

Thank you for your request for information concerning the implementation of the General Data Protection Regulation (GDPR),

Ofwat has responded to your questions as below and does hold the information

1. Have you invested in technology specifically to comply with GDPR?
 - No

2. Which information security framework(s) have you implemented?

Ofwat works to the Government Security Policy Framework at the following link
<https://www.gov.uk/government/publications/security-policy-framework>

3. Have you signed contractual assurances from all the third-party organisations you work with requiring that they achieve GDPR compliance by 25 May 2018?
 - No –Not all assurances have been received at the time of this response but has been requested and we are following up on those processors who have not yet responded

4. Have you completed an audit to identify all files or databases that include personally identifiable information (PII) within your organisation?
 - Yes

5. Do you use encryption to protect all PII repositories within your organisation?
 - No

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6. As part of this audit, did you clarify if PII data is being stored on, and/or accessed by:
 - a. Mobile devices Yes
 - b. Cloud services Yes
 - c. Third party contractors Yes

7. Does the organisation employ controls that will prevent an unknown device accessing PII repositories?
 - Yes

8. Does your organisation employ controls that detect the security posture of a device before granting access to network resources – i.e. valid certificates, patched, AV protected, etc.
 - Yes

9. Should PII data be compromised, have you defined a process so you can notify the relevant supervisory authority within 72 hours?
 - Yes – Ofwat’s breach policy covers this process

10. Have you ever paid a ransom demand to have data returned / malware (aka ransomware) removed from systems?
 - No

11. To which positions/level does your data protection officer report? i.e. CISO, CEO, etc.

Ofwats Data Protection Officer holds the post of General Counsel and reports to the CEO

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<https://ico.org.uk/>

or

The Information Commissioner's Office
Wycliffe House
Water Lane
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Yours sincerely,

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