

Privacy notice for Ofwat innovation competitions

innovation competitions. This means that both Ofwat and Nesta are responsible for the way in which your personal data is processed for the purposes of our innovation competition. Where both Ofwat and Nesta act as joint controllers, the specific terms of this Privacy Notice apply in conjunction with the general terms of Ofwat's Privacy Policy and the terms of Nesta's individual Privacy Policy (links to which are provided below at Section 5).

We have appropriate contractual arrangements in place with Nesta to ensure that our processing of your personal information (as joint controllers) complies with data protection law. In particular, where Ofwat acts as a joint controller with Nesta:

1. you will be provided with information about why and how Ofwat and Nesta process your personal data. This information will be provided to you (i) in this Privacy Notice, where you access our innovation fund website directly, or (ii) by Nesta (where Nesta collects your personal information from you) or Ofwat (where Ofwat collects your personal information from you); and
2. you have certain rights in relation to your personal data when it is processed by Ofwat and Nesta for the purposes of our innovation competitions. Ofwat is responsible for complying with your data subject rights. These rights are set out in section 7 (Protecting your information) of the [Ofwat Privacy Policy](#). You can exercise these rights, and make any complaints or enquiries about the processing of your personal information, by contacting Ofwat's Data Protection Officer at dpo@ofwat.gov.uk. Additionally, you also have the right to lodge a complaint with the Information Commissioner's Office (www.ico.org.uk) about the way in which either Ofwat or Nesta has processed your personal data for the purposes of our innovation competitions. However, we would appreciate the opportunity to deal with your concerns before you approach the ICO, so please contact Ofwat in the first instance.

Controller and processor

In certain circumstances, only Ofwat will be a data controller of your personal data, and Nesta will act as a data processor on Ofwat's behalf. This means that only Ofwat will be responsible for your personal data when it is processed for the purposes of our innovation competitions, and Nesta will only process that data on Ofwat's explicit written instructions.

Where Ofwat acts as a data controller (and Nesta as a data processor), the processing of your personal data will take place under the sole control and responsibility of Ofwat, on the specific terms of this Privacy Notice in conjunction with the general terms of Ofwat's individual Privacy Policy (a link to which is provided in Section 5 below). Ofwat will alone be responsible for ensuring that you are provided with information on how and why your personal data is being processed, and for complying with your data subject rights. You can exercise these rights, and make any complaints or enquiries about the processing of your personal information, by contacting Ofwat's Data Protection Officer at dpo@ofwat.gov.uk. These rights are set out in section 7 (Protecting your information) of the [Ofwat Privacy Policy](#).

3. What personal data Ofwat and Nesta process about you

The personal data that Ofwat and Nesta process for the purposes set out above may include:

1. contact details, names, email addresses, work addresses, telephone number, job roles, images, employer / name of company, other information contained in and processed as part of your specific application to the innovation competition, personal data processed as part of the provision of support to you, and personal data that you provide to us where you give us feedback or participate in surveys or research;
2. video and audio recordings of individuals that participate in stakeholder events, including webinars or Q&As sessions; and
3. name, contact details, image, video and audio recordings of individuals which are used for the purposes of sending email updates.

4. Lawful basis of processing

The lawful basis that Ofwat relies on for this processing is that it is necessary for:

- the performance of Ofwat's tasks carried out in the public interest (Article 6(1)(e) of the UK General Data Protection Regulation ("UK GDPR"). This includes, for example, the provision of funding to stimulate innovation within the water sector which has been enabled as part of the implementation of our price controls (under Condition B of companies' instruments of appointment and Section 11 of the Water Industry Act 1991);
- the performance of a contract with you, or to take steps to enter into a contract with you (Article 6(1)(b) UK GDPR). This includes, for example, where you participate in (or apply to participate in) our innovation competitions, or where you are involved in the competitions in some other way (e.g. where you are acting as a panel expert);
- sending you updates and communications in relation to our innovation competitions, where you have expressed an interest in receiving such updates/communications. We will only send you such updates and communications where you have consented to receive them (Article 6(1)(c) UK GDPR), and you will always be given the opportunity to opt-out of these communications. We will also obtain your prior consent before using any image, video and audio recordings of you in promotional and marketing materials that we create and distribute in relation to our innovation competitions. You have the right to withdraw this consent at any time, but please be aware that if you withdraw consent after recordings of you have been created and/or distributed, it may not be possible to completely delete / remove the recording from the relevant material; and
- our legitimate interests (Article 6(1)(f) UK GDPR), which include:
 - to manage our relationship with you (including communicating with you);

- to ensure the effective administration and management of our operations and activities (including the innovation competition);
- keeping effective and up-to-date records;
- detecting and preventing fraud or other illegal and/or unauthorised activity;
- maintaining the integrity, security and availability of our IT systems and networks; and
- dealing with disputes and taking legal or other professional advice.

In addition to the above, please note that Ofwat may use your name and email address (except where you have given us this information solely for the purposes of sending you marketing communications) to assess and develop its policy in areas, including those impacted by Ofwat's innovation fund. This includes assessing and growing the water sector's capacity to innovate to better meet the evolving needs of customers, society and the environment. In order to do this, Ofwat may contact or consult with you for this purpose. When Ofwat processes your personal data for this purpose, it acts as an independent data controller, and it alone is responsible for processing your personal data for this purpose in accordance with Ofwat's Privacy Policy. When processing personal data for this purpose, Ofwat is relying on its legitimate interest (i.e. to assess and develop policy in areas impacted by its innovation fund) as its lawful basis for processing (Article 6(1)(f) UK GDPR).

5. Further information on how Ofwat and Nesta process your personal data

For more information on how both Ofwat and Nesta each handle personal data, including amongst other things, who Ofwat and Nesta may share your personal data with, transfers of your personal data outside the UK and/or EEA, and the data subject rights that you can exercise (by contacting Ofwat), please see:

1. [Ofwat's Privacy Policy](#) for information on how Ofwat processes your personal data (including your rights); and
2. [Nesta's Privacy Policy](#) for more information on how Nesta processes your personal data.

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**Ofwat (The Water Services Regulation Authority)
is a non-ministerial government department.
We regulate the water sector in England and Wales.**

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Any enquiries regarding this publication should be sent to mailbox@ofwat.gov.uk.

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